



AA

A3 Section

PATENT

Attorney Docket No. 018419-008320US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sien G. Kang et al.

Application No.: 09/893,340

Filed: June 26, 2001

For: SURFACE FINISHING OF SOI
SUBSTRATES USING AN EPI
PROCESS

Examiner: Unassigned

Art Unit: 2813

COMMUNICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant no longer claims the benefit of small entity.

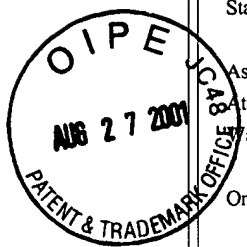
Respectfully submitted,

Steve Y. Cho
Reg. No. 44,612

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
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SYC:cmm
PA 3159698 v1

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PATENT
Attorney Docket No. 018419-008320US



Assistant Commissioner for Patents

Attn: Box Missing Parts

Washington, D.C. 20231

8/23/01

On

TOWNSEND and TOWNSEND and CREW LLP

By

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sien G. Kang et al.

Application No.: 09/893,340

Filed: June 26, 2001

For: Surface Finishing of SOI Substrates
Using an EPI Process

Examiner: Unassigned

Art Unit: 2813

TRANSMITTAL LETTER –
RESPONSE TO NOTICE OF MISSING
PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated July 18, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Copy of Notice of Missing Parts
- 2) Communication

Please charge Deposit Account No. 20-1430 for the following fees:

Entity:	(a) Filing Fee (§ 1.16(a)) (Entity)	\$710.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	



018419 008320US

UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,340	06/26/2001	Sien G. Kang	018419-008320US

CONFIRMATION NO. 2640

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000006312230

Response Due 9-18-01 *FWC*

Date Mailed: 07/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

A copy of this notice MUST be returned with the reply.

LS
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

08/28/2001 HADDI1 00000079 201430 09893340

01 FC:101 710.00 CH
02 FC:105 130.00 CH

2813 / \$

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/893,340
Filing Date	June 26, 2001
First Named Inventor	Kang, Sien G.
Group Art Unit	2813
Examiner Name	Erik J. Kielin
Attorney Docket Number	018419-008320US

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ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
USPTO form SB08A and SB08B (3 pages), copies of 20 cited references, Return Postcard.

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP	
	Richard T. Ogawa	Reg. No. 37,692
Signature		
Date	8/22/02	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

8/23/02

Typed or printed name	Roger Hylton	
Signature		Date 8/23/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3245194 v1